

## **False susceptibility of antibiotics to carbapenemase producers and means to overcome**

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**Abstract:** The aim of this study was to identify the reason for frequent failure of carbapenems and  $\beta$ -lactam and  $\beta$ -lactamase inhibitor combination drugs in clinical settings despite susceptibility. For this different isolates collected from clinical specimens were tested for susceptibility and identification of carbapenemase producers was done by phenotypic method (Modified Hodge Test). Further, phenotypic results were compared with the Gold standard PCR results to assess accuracy of the results.

A total of 541 isolates collected from various centres across India including *Escherichia coli* (n=154), *Klebsiella pneumoniae* (n=161), *Acinetobacter baumannii* (n=103) and *Pseudomonas aeruginosa* (n=123) were included in the study. These isolates were tested for susceptibility according to Clinical and Laboratory Standards Institute (CLSI) methods and then screened for carbapenemase following the same guidelines. The isolates were then subjected to Modified Hodge Test with and without zinc sulfate with subsequent confirmation with the genotypic assay.

Out of 541 clinical isolates, 464 isolates were identified as probable carbapenemase producers. Further phenotypic screening of these isolates, only 316 (68.1 %) isolates were found to be positive to MHT. After addition of zinc into MHT sensitivity of the test was improved and now 431 (92.9 %) isolates were found to be positive to MHT indicating it is 24.8 % more effective compared to MHT without zinc sulfate. Subsequently, when 316 MHT positive isolates were screened through PCR, 30 % (95/316) isolates showed false positive and 19.6 % (29/316) isolates showed false negative, results indicating higher degree of false positive and negative results are associated with MHT. However, with the addition of zinc sulfate to MHT, false positive and negative results are minimized significantly. Among the tested drugs, Eloxon appeared to be the most efficacious with 90.9 % to 95.4 % susceptibility to carbapenemase producing organisms. Interestingly, penems (meropenem and imipenem plus cilastatin) exhibited higher resistance varying from 90 % to 96.1 %. For the proper treatment of patients, it is important to use simple and reliable tests for identification of carbapenemase producing clinical isolates among gram negative organisms. This study showed that the MHT technique is highly sensitive for detecting carbapenemases after addition of zinc. When susceptibility of various drugs were tested against carbapenemase producing isolates, Eloxon was found as the most efficacious drugs.

**Keywords:** NDM-1, Carbapenemases, Modified Hodge Test.

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### **I. Introduction**

Carbapenems,  $\beta$ -lactam group of antimicrobials, are being used worldwide as the last resort antibacterial agents for the treatment of infections caused by multi-drug resistant (MDR) gram negative organisms. In comparison to earlier  $\beta$ -lactam antibacterial agents, carbapenems have broader spectrum activity and are stable to hydrolysis against  $\beta$ -lactamases [1]. However, in recent years, resistance to carbapenems among gram-negative pathogens has been emerging steadily and thus putting clinical application of this antibiotic under threat [2]. Resistance to carbapenem is mainly mediated by carbapenemases such as Ambler class B metallo- $\beta$ -lactamases (MBL), including IMP, VIM, and NDM-1 which have been increasingly reported in *Enterobacteriaceae* and *Pseudomonas* spp. [3-5]. Carbapenemase enzymes are usually encoded by mobile DNA elements with a high capacity for dissemination [6]. Infections due to carbapenemases producing strains are associated with higher morbidity and mortality rates [7].

As carbapenem resistance is a growing problem worldwide, therefore its identification is of importance for the determination of appropriate therapeutic regimens and implementation of infection control measures [8]. Carbapenemase gene detection by polymerase chain reaction is gold standard but needs skilled technician and is available in only in a few laboratories, thereby limiting its use. In addition to that, several phenotypic techniques have been employed for detection of carbapenemases production [8-9] but in vitro tests for detection of carbapenemase activity do not possess high sensitivity and specificity and may show false positive results, particularly, when multiple mechanisms of resistance occur in bacterial strains as well as when isolates exhibit low-level of carbapenem resistance [10]. Therefore, a quick, reliable and affordable laboratory method for the detection of carbapenemase-producing enterobacteriaceae is of utmost importance in any clinical laboratory.

Taking into account the high rate of clinical failures among penems and other  $\beta$ -lactam and  $\beta$ -lactam

inhibitor (BL and BLI) combination drugs , we tried to modify this MHT technique for improving its detection limits. As MBLs are zinc dependent (Walsh et al., 2005), zinc sulfate was added to Mueller-Hinton agar (MHA) at concentration of 70 µg/ml in order to increase sensitivity of the test. The aim of this study was to identify the carbapenemase producers among isolates collected from different clinical specimens by phenotypic method (Modified Hodge Test). Further, phenotypic results were compared with the Gold standard PCR results to assess the accuracy of the results. Subsequently, susceptibility study was carried out in these isolates with commonly used β-lactam drugs.

## **II. Materials And Methods**

### **2.1 Bacterial strains**

A total of 541 isolates including *Escherichia coli* (n=154), *Klebsiella pneumoniae* (n=161), *Acinetobacter baumannii* (n=103) and *Pseudomonas aeruginosa* (n=123) recovered from various clinical specimens were included in the study. These isolates were recovered from various parts of India including Uttar Pradesh, Delhi, Kolkata, Haryana, Hyderabad and Mumbai. Identity of the isolates was confirmed with VITEK 2. These isolates were inoculated in Mueller-Hinton broth for overnight at 37°C prior to use. These strains were further tested for carbapenemase production.

### **2.2. Antibiotic susceptibility testing**

Carbapenemase producing isolates are considered to be multi-drug resistant, hence, we further tested the antimicrobial susceptibility of these isolates for various antibiotics including ceftriaxone plus sulbactam; Elores (30:15µg), piperacillin plus tazobactam (100:10 µg), imipenem plus cilastatin (10 µg), and meropenem (10 µg) by the disc diffusion method as recommended by Clinical and Laboratory Standards Institute (CLSI, 2013). All the discs were obtained from Hi-Media Laboratories Pvt. Ltd., Mumbai, India.

### **2.3. Screening for carbapenemases production**

All the isolates were exposed to identify carbapenemases production according to the methods developed by CLSI guidelines (2013). The isolates showing zone of inhibition of ≤ 23mm with imipenem or meropenem were selected for the phenotypic method by modified Hodge test (MHT) and genotypic method by polymerase chain reaction (PCR).

### **2.4. Phenotypic detection of carbapenemase production by modified Hodge test (MHT)**

MHT test was performed according to the CLSI guidelines [11]. A 1:10 dilution of 0.5 Mc- Farland suspension of *E. coli* ATCC 25922 was streaked as lawn on to a Müller-Hinton agar plate. A 10 µg meropenem or imipenem susceptibility disk was applied in the center of the lawn. The test organism and positive/negative control were streaked starting from the edge of the carbapenem disc towards the edge of the plate. The plate was incubated overnight at 35±2°C for 16–24 hours. The plates were examined after 16-24 hours of incubation for a clover leaf type indentation at the intersection of the test organism and control stain *E.coli* 25922 within the zone of inhibition of the carbapenem susceptibility disk. MHT positive test with a clover-leaf indentation of the *E. coli* 25922 growing along the test organism growth streak within the disk diffusion zone indicated that the isolate was producing a carbapenemase. MHT negative test with no growth of the *E. coli* 25922 along the test organism growth streak and no growth within the disk diffusion indicated that the isolate was not producing a carbapenemase. MHT is a simple test for screening for MBL production but occasional false negative results have been reported. In order to increase the sensitivity of test zinc sulphate at the concentration of 70 µg/ml was added to th MH agar plates for MHT. *K. pneumoniae* ATCC BAA 1705 and *K. pneumoniae* ATCC BAA 1706 were used as positive and negative controls, respectively.

### **2.5. DNA isolation**

DNA of all chosen isolates was extracted following the method described previously [12]. Five ml of overnight grown culture of each isolate was used for the DNA isolation. DNA purity and concentrations were measured with spectrophotometer (260/280).

### **2.6. Molecular detection of the MBL genes**

The isolated DNA of all clinical isolates were exposed to PCR to detect NDM-1, VIM-1 and IMP-1. The detection of these genes was carried out by using the primers reported previously [13]. PCR amplification was performed in a total volume of 20 µl containing 200 pg of DNA, 0.5 mM of dNTPs, 1.25 µM of each primer and 1.5U of Taq polymerase (Banglore Genei). PCR amplification was done using Eppendorf thermocycler (Germany). The amplicon was analyzed on 1 % (w/v) agarose gel supplemented with ethidium bromide.

### III. Results

#### 3.1 Identification of clinical isolates

The isolates were confirmed to be *E. coli* (n=154), *K. pneumoniae* (n=161), *A. baumannii* (n=103) and *P. aeruginosa* (n=123). Out of a total of 541, 464 were found to have inhibition zone diameter of less than 23 mm and subjected for further characterization. PCR results confirmed that 431 including *E. coli* (n=120), *K. pneumoniae* (n=133), *A. baumannii* (n=80) and *P. aeruginosa* (n=98) to be of carbapenemase producers which includes NDM-1, VIM-1 and IMP-1 (Figure 1).

#### 3.2. Phenotypic detection of carbapenemase by Modified Hodge test

Phenotypic detection for carbapenemase production was further done by Modified Hodge test without and with incorporation of zinc sulphate (Figure 2 and 3). Of 464 isolates, MHT was found to be positive in 316 (68.1 %) isolates and negative in 148 (31.9 %) isolates in the absence of zinc sulphate. After addition of zinc into MHT sensitivity of the test was improved and we noted respectively 431 (92.9 %) and 33 (7.1 %) isolates were positive and negative to MHT. Through PCR, carbapenemase genes were detected in 431 (92.9 %) isolates. As PCR is considered as gold standard, when the isolates which were positive for MHT in the absence of zinc sulphate were re-evaluated with PCR, only 47.6 % (221/464) isolates were found to be positive by PCR (true positive) and therefore positive predictive value would be 69.9 % (221/316), while 30 % (95/316) isolates were found to be negative to PCR (false positive) therefore false positive predictive value would be 30%.

In contrast, 25.6% (119/464) isolates in the absence of zinc sulphate were found to be negative by PCR (true negative) and therefore negative predictive value would be 80.4 % (119/148) whereas 19.6 % (29/148) isolates were PCR positive (false negative) therefore false negative predictive value would be 19.6 % (29/148). These results indicate that false positive (30 %) and false negative (19.6 %) results are associated with Hodge test. However, it was observed that with the addition of zinc sulphate positive predictive value accuracy increases from 69.9 % to 100 % whereas negative predictive value accuracy ranges from 80.4 % to 100%. This shows with the addition of zinc sulphate, sensitivity of Hodge test is increased significantly.

#### 3.3. Prevalence of different carbapenemases among clinical isolates

Our results showed that out of 80 *A. baumannii* isolates, 15 (18.7 %) isolates were confirmed to be positive for NDM-1; 11 (13.7%) isolates were IMP-1 positive; 18 (22.5%) were positive for VIM-1; 22 (27.5%) were co-producers of both NDM-1 and VIM-1; 14 (17.5%) were NDM-1+IMP-1+VIM-1. Among 120 isolates of *E. coli*, NDM-1 was detected in 45 (37.5 %) isolates; 12 (10 %) isolates had IMP-1; VIM-1 was evident in 20 (16.6 %) isolates; 27 (22.5%) isolates were found to carry NDM-1+IMP-1; NDM-1+IMP-1+VIM-1 was detected in 16 (13.3%) isolates. Of 133 isolates of *K. pneumoniae*, NDM-1 was evident in 37 (27.8 %) isolates; 16 (12 %) isolates harboured IMP-1; 33 (24.8%) isolates were positive to VIM-1; NDM-1+VIM-1 was evident in 25 (18.8 %) isolates; NDM-1+IMP-1+VIM-1 was identified in 22 (16.5%) isolates. Out of 98 isolates of *P. aeruginosa*, NDM-1 was present in 26 (26.5 %) isolates; 13 (13.2 %) isolates had IMP-1; VIM-1 was prevalent in 19 (19.4%) isolates; 14 (14.3 %) isolates were found to carry NDM-1+IMP-1 and NDM-1+VIM-1 was evident in 26 (26.5 %) isolates (Table 1).

#### 3.4. Antibiotic susceptibility testing

Results of antimicrobial susceptibility are shown in Table 2. Susceptibility results revealed that among the tested drugs, Elox was found to be more efficacious. Approximately, 91.6 % to 95% of the *E. coli* isolates harboring various carbapenemases were susceptible to Elox when tested by disc diffusion method followed by *K. pneumoniae* (91 to 94%), *P. aeruginosa* (92.3 to 94.7 %) and *A. baumannii* (90.9 to 95.4%). None of the isolates was susceptible to meropenem, imipenem plus cilastatin and piperacillin plus tazobactam. Piperacillin+tazobactam showed 87.5 to 96.3 % resistance against carbapenemase producing isolates whereas exhibited 3.7 to 12.5 % intermediate response. Surprisingly, our results showed a marked changes in susceptibility patterns on penems (meropenem and imipenem) which exhibited 90 to 96.1 % resistant and 4.4 to 11.1 % intermediate response to carbapenemase producing isolates.

### IV. Discussion

Over the past years, increasing incidence of carbapenemase producing *Enterobacteriaceae*, *Pseudomonas* and *Acinetobacter* has been reported globally [14-18]. Of the carbapenemases, NDM-1, IMP-1, and VIM-1 type carbapenemase has been reported recently in many countries [19-20]. In this investigation we found that more than 85% isolates were carbapenem resistant of which 68.1 % of isolates were phenotypically MBL positive which corroborates with previous study where Hodge test identified only 67% cases of MBL producing *P. aeruginosa* and *Acinetobacter* spp [21]. To our surprise 30 % false positive and 19.6 % false negative results are associated with MHT test for MBL detection. These 30% false positive results lead to false susceptibility of penems and failure of clinical treatment with either of these drugs may complicate the case and

result in mortality or worsening of patient condition. Similarly, 19.6% false negative exclude the use of penems on these patients which could have otherwise been treated well.

All the patients from whom these isolates were recovered succumbed to serious infections. After confirmation of carbapenemase, they were treated with appropriate antibiotics and get recovered soon. Microbiologists and clinicians should be aware of the false results when only MHT are used to screen isolates. However, as reported earlier [9], we observed that these false results could be overcome by adding zinc into MHT. Earlier, Poirel et al., [22] from Argentina have reported false susceptibility in class A carbapenemases using MHT which was enhanced using boronic acid compound. Our results showed that MHT with zinc could identify 115 other isolates, which were not detected by MHT without zinc. The results obtained with MHT in the presence of zinc sulfate appeared to be accurate and reliable for the diagnosis of carbapenemases and the evaluation of treatment response in most carbapenemase producing bacterial infection. Thus, it had higher sensitivity with more positive predictive value for carbapenemase detection. Although Rasheed et al., [23] reported a low MHT sensitivity for NDM-1 producers (50%) which increased to 85.7% by adding ZnSO<sub>4</sub> (100 µg/ml) in the culture medium, still PCR is the only 100% confirmatory test which is not possible to perform due to paucity of time and chornicity of patient. The high positive predictive value of MHT with zinc in our study suggest to be used this method as an effective tool for screening of carbapenemase in enterobacteriaceae. This is not only cost-effective but also can be utilized for an early detection using bacterial isolates recovered directly from the clinical specimens. Therefore, there is a need for alternative fast detection methods for MBLs to assist clinicians in choosing appropriate therapy right the first time.

Our data also demonstrated, there were increasing trend of carbapenemases in clinical isolates. Our previous studies also noted the steadily increasing frequency of carbapenemases in *E. coli*, *K. pneumoniae*, *A. baumannii* and *P. aeruginosa* [24-27]. An earlier study from India also reported high penem resistance [28]. An earlier study from Australia in *A. baumannii* clinical isolates reported 64 % resistance to meropenem [29], where as approximately 75% of NDM-1producing *K. pneumoniae* and all were resistant to ertapenem, imipenem, and meropenem [30]. A still higher 100% resistance to imipenem was reported in *P. aeruginosa* [31].

## V. Conclusion

It is important to use simple and reliable tests for identification of carbapenemase producing clinical isolates among gram negative organisms for proper selection of antibiotic therapy. Organisms producing carbapenemase remain an important cause for therapy failure with commonly used drugs due to false susceptibility patterns. This study showed that the MHT technique is highly sensitive for detecting class carbapenemases after addition of zinc. Study also highlighted an alarming rise in MBLs in Indian population where penems and BL+ BLI combinations fail to perform and , Elores was found as the most efficacious drug.

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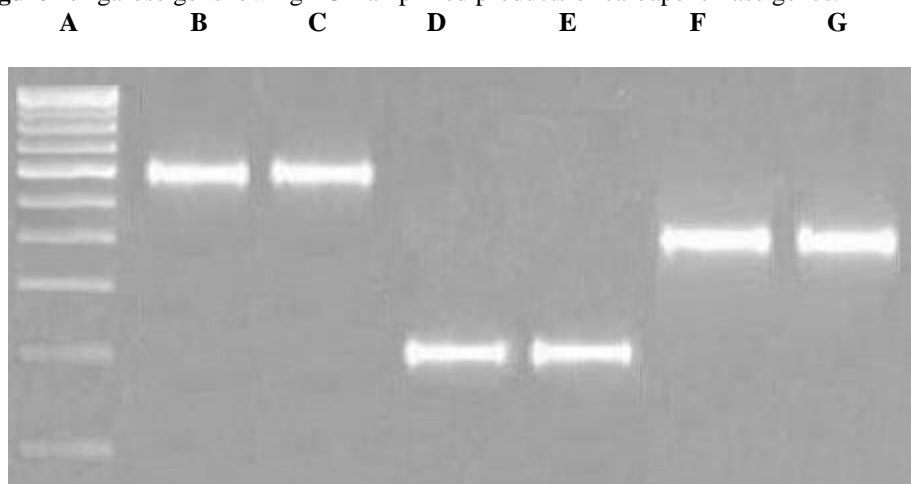
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**Table 1:** Prevalence of various carbapenemase among different clinical isolates.

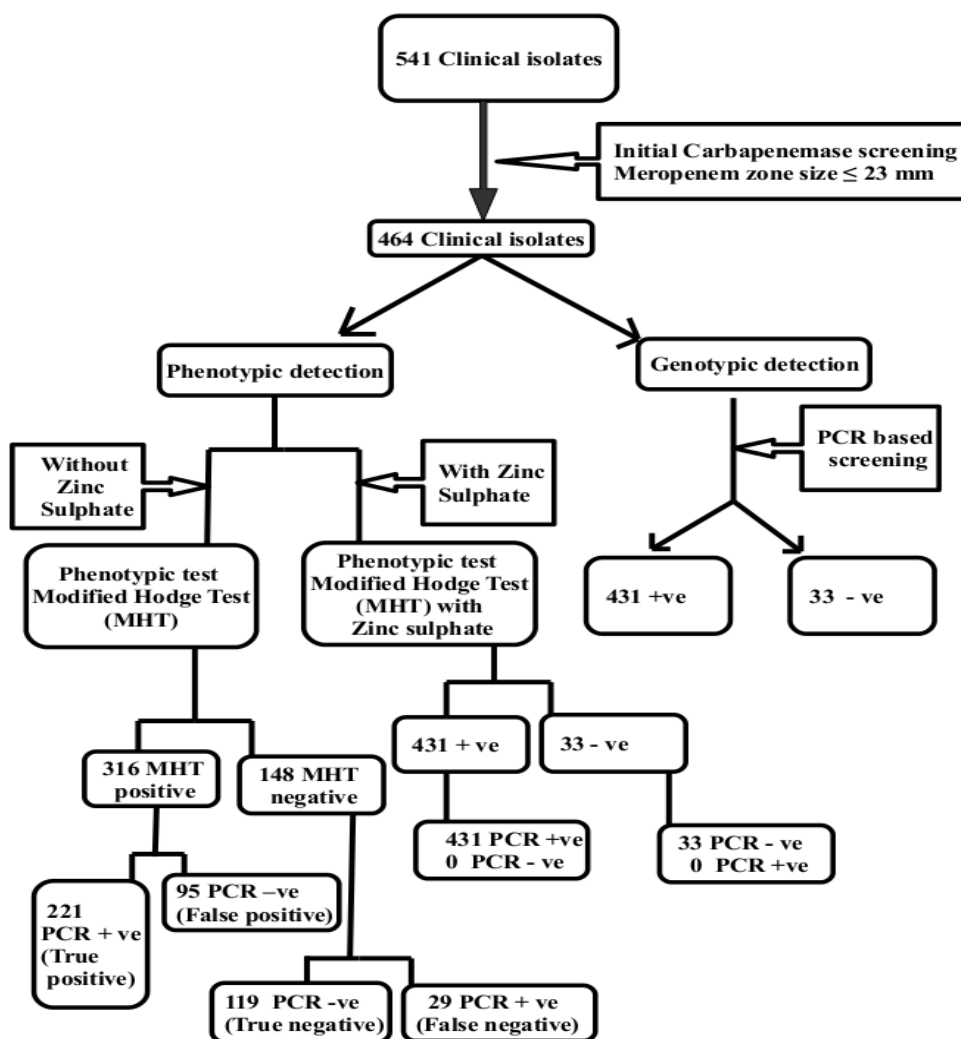
Carbapenemase genes	Carbapenemase %			
	<i>A. baumannii</i> (80)	<i>E. coli</i> (120)	<i>K. pneumoniae</i> (133)	<i>P. aeruginosa</i> (98)
NDM-1	18.7 (15)	37.5 (45)	27.8 (37)	26.5 (26)
IMP-1	13.7 (11)	10 (12)	12 (16)	13.2 (13)
VIM-1	22.5 (18)	16.6 (20)	24.8 (33)	19.4 (19)
NDM-1+IMP-1	-	22.5 (27)	-	14.3 (14)
NDM-1+VIM-1	27.5 (22)	-	18.8 (25)	26.5 (26)
NDM-1+IMP-1+VIM-1	17.5 (14)	13.3 (16)	16.5 (22)	-

**Figure 1:** Agarose gel showing PCR amplified products of carbapenemase genes.



Lane A 100 bp DNA size marker; Lane B to C NDM-1(621 bp); lane D to E IMP-1 (190 bp); lane F to G VIM-1 (390 bp).

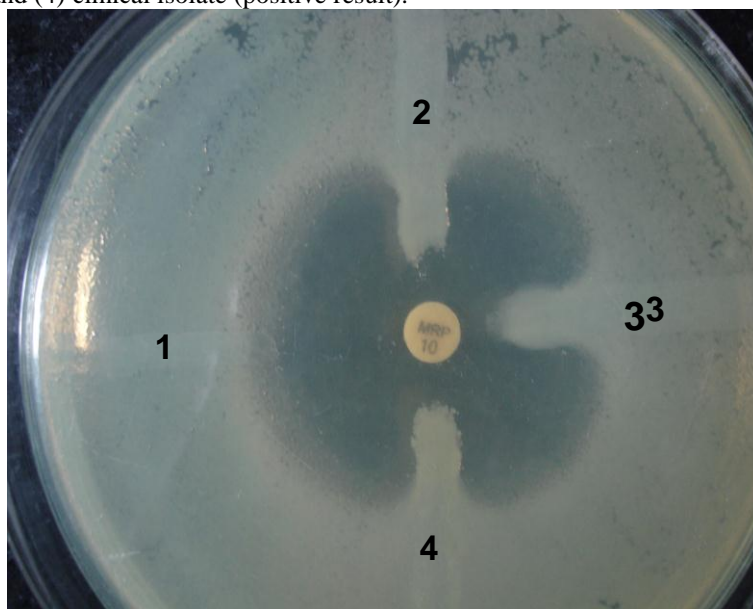
**Figure 2:** Summary of the screening of isolates.



MHT test: Positive predictive value : 69.9%; Negative predictive value : 82.4%

MHT with zinc sulphate test: Positive predictive value : 100%; Negative predictive value : 100%

**Figure 3:** The MHT performed on a Muller Hinton agar plate. (1) MHT negative result (2) MHT positive result (positive control (3) and (4) clinical isolate (positive result).



**Table 2:** Percentage of antibiotic susceptibility of carbapenemase positive clinical isolates.

		<i>A. baumannii</i>			<i>E. coli</i>			<i>K. pneumoniae</i>			<i>P. aeruginosa</i>		
		S	I	R	S	I	R	S	I	R	S	I	R
Elores	NDM-1	93.3	-	6.6	93.3	2.2	4.4	91.9	2.7	5.4	92.3	3.8	3.8
	IMP-1	90.9	-	9.1	91.6	-	8.3	93.7	-	6.2	92.3	-	7.7
	VIM-1	94.4	-	5.5	95	-	5	94	3	3	94.7	5.2	-
	NDM-1+IMP-1	94.4	-	5.5	92.6	3.7	3.7	91	3	6	92.8	-	7.1
	NDM-1+VIM-1	95.4	-	4.5	95	-	5	92	4	4	92.3	3.8	3.8
	NDM-1+IMP-1+VIM-1	92.8	-	7.1	93.7	-	6.2	91	4.5	4.5	94.7	-	5.2
Meropenem	NDM-1	-	6.6	93.3	-	6.6	93.3	-	8.1	91.9	-	7.7	92.3
	IMP-1	-	9.1	90.9	-	8.3	91.6	-	6.2	93.7	-	7.7	92.3
	VIM-1	-	11.1	88.8	-	5	95	-	94	6	-	5.3	94.7
	NDM-1+IMP-1	-	5.5	94.5	-	7.4	92.6	-	9.1	90.9	-	7.1	92.8
	NDM-1+VIM-1	-	4.5	95.4	-	10	90	-	8	92	-	7.7	92.3
	NDM-1+IMP-1+VIM-1	-	7.1	92.8	-	6.2	93.7	-	9.1	90.9	-	5.2	94.7
Imipenem+cilas tatin	NDM-1	-	6.6	93.3	-	4.4	95.5	-	5.4	94.6	-	3.8	96.1
	IMP-1	-	9.1	90.9	-	8.3	91.6	-	6.2	93.7	-	7.7	92.3
	VIM-1	-	5.5	94.4	-	5	95	-	6	94	-	5.2	94.7
	NDM-1+IMP-1	-	5.5	94.5	-	7.4	92.6	-	6	94	-	7.1	92.8
	NDM-1+VIM-1	-	4.5	95.4	-	5	95	-	8	92	-	7.7	92.3
	NDM-1+IMP-1+VIM-1	-	7.1	92.8	-	6.2	93.7	-	9.1	90.9	-	5.2	94.7
Piperacillin+ tazobactam	NDM-1	-	6.6	93.3	-	4.5	95.5	-	8.1	91.9	-	7.7	92.3
	IMP-1	-	9.1	90.9	-	8.3	91.6	-	12.5	87.5	-	7.7	92.3
	VIM-1	-	5.5	94.4	-	5	95	-	6	94	-	5.2	94.7
	NDM-1+IMP-1	-	5.5	94.4	-	3.7	96.3	-	9.1	90.9	-	7.1	92.8
	NDM-1+VIM-1	-	4.5	95.4	-	10	90	-	8	92	-	7.7	92.3
	NDM-1+IMP-1+VIM-1	-	7.1	92.8	-	6.2	93.7	-	4.5	95.4	-	5.2	94.7