

# Theoretical finding of the properties of a Si (100) MOS device

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**Abstract:** This article gives a method to find the properties of a Si (100) MOS device, given the transverse and longitudinal electron effective masses in the semiconductor and its bandgap, without fabricating the MOS device. The study also highlights a significant scientific concept of physics that the electron effective masses in semiconductors and insulators are not only related to the mobility through drift velocity, but are also related to the intrinsic Fermi energy below the conduction band of the semiconductor through the relation  $dE/E$  equals  $dm/m$ , where  $dE$  is the differential kinetic energy of the moving electron,  $E$  is the semiconductor bandgap,  $dm$  is the effective mass of the electron and  $m$  is the free electron mass.

**Keywords:** Metal-Oxide-Semiconductor, Silicon, Intrinsic defects, Fowler-Nordheim tunnelling

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## I. Introduction

The MIS characterization can lead to the determination of the intrinsic Fermi level  $E_i$  in the semiconductor bandgap. The differential energy  $dE$  from  $E_i$  to the semiconductor conduction band (CB) determines the longitudinal effective mass in the semiconductor by using the relation  $dE/E$  equals  $dm/m$ . Here,  $E$  is the semiconductor bandgap,  $dm$  is the effective mass in the semiconductor and  $m$  is the free electron mass [1-3]. If the MOS device is fabricated on the transverse surface, then the MIS characterization will lead to the transverse effective mass in the semiconductor. This becomes a new method of determining the electron effective masses in a parabolic semiconductor. Alternatively, if the transverse and longitudinal effective masses in a parabolic semiconductor are determined correctly, by for example, the cyclotron resonance method [4], then the  $E_i$  in the semiconductor bandgap can be easily determined using the relation  $dE/E$  equals  $dm/m$ . The intrinsic defects density in the semiconductor  $N_{id}$ , can then be found using the equation of charge neutrality in the semiconductor [1-2]. In this article, the properties of a Si (100) MOS device such as, conduction band offset (CBO), the Fowler-Nordheim (FN) onset electric field, and the oxide electrical breakdown field, along with the intrinsic defect density  $N_{id}$  in Si (100) are all determined starting with the known transverse and longitudinal electron effective masses in Si (100) semiconductor as  $0.19m$  and  $0.98m$  and its experimental bandgap of  $1.12$  eV, without even fabricating the MOS device. Since there are two conduction valleys in Si (100) semiconductor in the [100] direction, therefore the longitudinal mass for one valley is  $0.49m$ . The heavy-hole mass becomes  $0.51m$  as the electron and hole effective masses add up to be free electron mass.

## II. Theory

Four main properties of a MOS device are: the conduction and valence band offsets at the oxide/semiconductor interface, the FN onset field in the amorphous oxide (thermal  $\text{SiO}_2$  in the present case) that is a measure of the leakage current in the oxide, oxide electrical breakdown strength, and the intrinsic defects density in the semiconductor that indirectly determines the surface field-effect (FE) mobility in the MOSFET. The band offsets can be determined from the known position of  $E_i$  from the conduction band (CB) of Silicon by comparing it to the  $E_i$  in the  $\text{SiO}_2$ .  $E_i$  can also aid in determining the intrinsic defects density in the semiconductor [1-2]. The FN onset field divided by the CBO in a MOS device equals  $2$  MV/cm-eV as the electron heating threshold in the thermal  $\text{SiO}_2$ , where  $1$  eV is the energy to create hot electrons in vacuum. This has been found by direct observation of electron heating threshold in the oxide as  $2$  MV/cm [5-6]. The FN onset field can therefore be found once the CBO is known. Two points on the high field region of the Fowler-Nordheim electron tunneling current versus voltage characteristics can be used to find the tunneling slope constant  $B$  for the current and the dielectric breakdown field strength in MV/cm. One point can be the ( $10^{-9}$  or  $10^{-10}$  A/cm<sup>2</sup>, FN onset field in MV/cm) and the second point can be the ( $10^{-4}$  A/cm<sup>2</sup>,  $E_{bkdn}$  in MV/cm). The  $10^{-4}$  A/cm<sup>2</sup> current density is assigned as the breakdown current density in thick oxide of say  $40$  to  $100$  nm. This is described in the author's earlier studies [7-9]. Thus, all four main properties of a MOS device can be found without fabricating the MOS device.

### III. Results and Discussion

Given the transverse and longitudinal electron effective masses in Si (100) semiconductor as 0.19m and 0.49m (for one conduction valley), where m is the free electron mass, substantial knowledge about a MOS device fabricated on the (100) surface can be gained. The electric field in the thermal SiO<sub>2</sub> having negligible bulk defects is oriented in the [100] direction. The intrinsic Fermi level E<sub>i</sub> is located at 0.49 x 1.12 eV = 0.55 eV from the CB of Silicon, given that the relative energy equals relative mass of a moving electron from the expression dE/E equals dm/m [1-3]. The conduction band offset (CBO) of the oxide/semiconductor interface is 3.75-0.55 eV = 3.20 eV and the FN onset field in the oxide is 2 x 3.2 = 6.4 MV/cm. This is because the minimum field for electron heating in the oxide is 2 MV/cm, which is FN onset field divided by the CBO with 1 eV as the minimum energy needed to see vacuum emission of hot electrons. The FN onset field in the MOS device is thus 2 MV/cm-eV x CBO, as presented above to be 6.4 MV/cm [5-6]. Here, 3.75 eV (= 0.42 x 8.93 eV, where 0.42 is the relative electron effective mass in the oxide and 8.93 eV is the oxide bandgap) is the position of the E<sub>i</sub> in SiO<sub>2</sub> from its CB and identifies the position of E<sub>i</sub> in Si (100) of the oxide/semiconductor interface [1-2]. The oxide will exhibit a breakdown field of about 9.5 MV/cm for a 10<sup>-4</sup> A/cm<sup>2</sup> current density for thick oxide of say 100nm, given that two points on the Fowler-Nordheim (FN) current-voltage (I-V) characteristics at high fields are (10<sup>-10</sup> A/cm<sup>2</sup>, 6.4 MV/cm) and (10<sup>-4</sup> A/cm<sup>2</sup>, E<sub>bkdn</sub> in MV/cm) [10]. From the first point, FN slope constant B can be calculated as 254 MV/cm, and from the second point, the E<sub>bkdn</sub> can be calculated to be 9.5 MV/cm. E<sub>i</sub>, located at 0.55 eV from the Si (100) CB and close to the mid-bandgap of 0.56 eV translates to a small intrinsic defect density, N<sub>id</sub> of about 2.1 x 10<sup>10</sup>/cm<sup>3</sup> [1-2]. The surface field-effect (FE) electron mobility for the MOSFET can be known only from the I-V/C-V based characterization of a pair of n-MOS and p-MOS device, by finding the border trap density (D<sub>bt</sub>) and the interface trap density (D<sub>it</sub>), followed by a comparison to a Si-MOSFET with known surface mobility and total interface defect density. This is possible because the surface mobility is inversely proportional to the total interface traps density in the device [11]. The oxide electric field should thus be directed in the higher effective mass direction of the semiconductor giving lower N<sub>id</sub>. This direction also gives lower FN onset field in the MOS device, but the minimum oxide field required with the MOSFET in the ON state is only 2 MV/cm as the electron heating threshold in the oxide. The lower effective mass transverse direction having a transverse mass of 0.19m can thus give larger mobility, as the oxide electric field and electron flow are directed perpendicular to each other.

### IV. Conclusions

The above theoretical analysis corroborated with experimental evidence bring informed readers to the conclusion that, the properties of a Si (100) MOS device can be found without fabricating the device, simply with the knowledge of the transverse and longitudinal electron effective masses and its bandgap. The electron effective masses in the semiconductors and insulators are thus not only related to the mobility through drift velocity, but they are also related to the intrinsic Fermi energy level from the conduction band through the relation dE/E equals dm/m. This relation is universal to the moving particles and objects in materials and space alike, as the mass-energy equivalence relation first found by the famous scientist Albert Einstein as E=mc<sup>2</sup>.

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